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6-14-01

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By: Teresa A. Fleming

Typed Name: Teresa A. Fleming

Date of Deposit: April 18, 2001

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97**

**Inventors:** Tai Quan,  
Brian L. Smith and  
James C. Lewis

**Title:** METHOD AND APPARATUS FOR EFFICIENTLY BROADCASTING TRANSACTIONS  
BETWEEN A FIRST ADDRESS REPEATER AND A SECOND ADDRESS REPEATER

**Filing Date:** March 19, 2001

**Serial Number:** 09/815,442

**Group Art Unit:** Unknown

**Examiner:** Unknown

On an enclosed Form PTO-1449 is information known to applicant(s) and submitted pursuant to 37 C.F.R. § 1.56. A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. § 1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial and return the enclosed Form PTO-1449 in accordance with MPEP § 609.

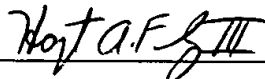
This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56.

☒ This statement qualifies under 37 C.F.R. § 1.97, subsection (b) because (check all that apply):

- ☒ (1) It is being filed within 3 months of the application filing date  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☒ (3) It is being filed before the mail date of the first Office Action on the merits.

- ☐ 37 C.F.R. § 1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, then:
- ☐ a certification as specified in § 1.97(e) is provided below; or
- ☐ a fee of \$240.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement. Please note that a check in the amount of \$240.00 is enclosed in payment.
- ☐ 37 C.F.R. § 1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, but before payment of the issue fee, then:
- A. a certification as specified in § 1.97(e) is completed below; and
- B. a petition under 37 C.F.R. § 1.97(d) requesting consideration of this statement is submitted herewith; and
- C. a fee of \$130.00 as set forth in § 1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ Statement under 37 C.F.R. § 1.97(e) - I hereby certify that either: each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the information disclosure statement; or no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

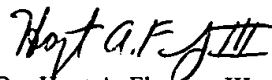
Signature:



Date

4-18-01

Respectfully submitted,

By: Hoyt A. Fleming III  
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28422

PATENT TRADEMARK OFFICE

Date: April 18, 2001



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# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Total Number of Pages in this Submission: 3

Application No. 09/815,442

Filing Date March 19, 2001

First Named Inventor Tai Quan

Group Art Unit Unknown

Examiner Name Unassigned

Attorney Docket No. SUN-P6097-RJL

**ENCLOSURES (check all that apply)**



- ☐ Fee Transmittal Form  
☐ Fee attached
- ☐ Amendment/Response  
☐ After Final  
☐ Affidavit/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts Notice/ Incomplete Application  
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Check for \$ \_\_\_\_\_
- ☒ Return Receipt Postcard

- ☐ Assignment Papers for an application
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney by Assignee, with Revocation of Former Powers
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After-Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Additional Enclosure(s):

Remarks:

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

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**CERTIFICATE OF MAILING**

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Type or Printed Name	Teresa A. Fleming	Signature	
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